



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification<sup>4</sup> :</b> <b>A61K 9/06, 7/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 88/ 06880</b> <b>(43) International Publication Date:</b> 22 September 1988 (22.09.88)
<b>(21) International Application Number:</b> PCT/US88/00774 <b>(22) International Filing Date:</b> 11 March 1988 (11.03.88) <b>(31) Priority Application Number:</b> 025,569 <b>(32) Priority Date:</b> 13 March 1987 (13.03.87) <b>(33) Priority Country:</b> US <b>(71) Applicant:</b> R.I.T.A. CORPORATION [US/US]; 332 Virginia Street, Crystal Lake, IL 60014 (US). <b>(72) Inventors:</b> GOODE, Stephen, T. ; 8504 Crystal Spring Road, Woodstock, IL 60098 (US). LINTON, Robert, R. ; 6907 Meadow, Crystal Lake, IL 60014 (US). BAI-OCCHI, Fred ; 7048 Roe Avenue, Prairie Village, KS 66208 (US).		<b>(74) Agent:</b> SCARPELLI, Nate, F.; Marshall, O'Toole, Gerstein, Murray & Bicknell, Two First National Plaza, Chicago, IL 60603 (US). <b>(81) Designated States:</b> DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP. <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> COSMETIC BASE COMPOSITION WITH THERAPEUTIC PROPERTIES  <b>(57) Abstract</b>  Cosmetic base composition exhibiting therapeutic properties comprising an acyl fatty acid lactylate ester or alkali metal salt thereof, a sucrose fatty acid ester, and a solvent.		

***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	ML	Mali
AU	Australia	GA	Gabon	MR	Mauritania
BB	Barbados	GB	United Kingdom	MW	Malawi
BE	Belgium	HU	Hungary	NL	Netherlands
BG	Bulgaria	IT	Italy	NO	Norway
BJ	Benin	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LI	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland				

- 1 -

COSMETIC BASE COMPOSITION  
WITH THERAPEUTIC PROPERTIES

5

FIELD OF THE INVENTION

The present invention relates generally to cosmetic base compositions and more particularly to an improved cosmetic base composition that exhibits unexpected utility as a pharmaceutical compound. The base composition of the present invention includes a therapeutically useful combination of two ingredients, wherein the first ingredient is an ester of a fatty acid or an alkali metal salt thereof, and the second ingredient is a sucrose fatty acid ester. The ester of a fatty acid used in the composition of the present invention may be a mono-, di- or a poly- ester, preferably stearoyl lactic acid or an alkali metal salt thereof. The sucrose fatty acid ester used in the composition of the present invention is preferably sucrose cocoate.

25

BACKGROUND OF THE INVENTION

The use of fatty acids, fatty acid salts and sucrose esters in cosmetic compositions and other dermatological compositions is known. Various fatty acids, fatty acid salts and sucrose esters have also been employed in pharmaceutical compositions, but never as the therapeutic ingredient.

Smith, United States Patent Nos. 3,896,238, 4,150,114, and 4,046,886 disclose the use of a sucrose ester in combination with an alkyl sulfoxide or phosphine oxide in compositions for enhancing the penetration of pharmacologically active agents into the skin. Preferred sucrose esters include mono- and di-acyl

- 2 -

esters wherein the acyl substituents contain eight to twenty carbon atoms with sucrose monooleate the most preferred. Specifically disclosed are sucrose mono-  
5 octanoate, sucrose monocaprate, sucrose monolaurate, sucrose monomyristate, sucrose monopalmitate, sucrose monostearate, sucrose monooleate, sucrose mono-eicosanate, as well as the di- and tri-esters of the  
aforementioned compounds.

10 Japanese patent Jpn. Kokai Tokkyo Koho 81 75,437 discloses a composition which has utility as a base for a suppository containing a sucrose fatty acid ester displaying hydrophile-lypophile balance (HLB) value properties in the range of 1 to 5.

15 Kreps, U.S. Patent No. 3,098,795 and Koulbanis, U.S. Patent No. 4,422,952 disclose the utility of fatty acid esters as emulsifiers.

Sucrose fatty acid esters, and in particular, cocoates, have been used in detergent compositions.  
20 Brazilian patent Braz. Pedido PI 78 05,654 discloses a detergent composition containing sucrose coconut oil fatty acid mono- and di-esters useful as an effective soap in soft or hard water.

Japanese patent Jpn. Kokai Tokkyo Koho 75 29,608 discloses dishwashing detergent compositions containing a sucrose coconut oil fatty acid ester.

Sucrose fatty acid esters have also been used in the cosmetic industry. French patent 2,421,605 discloses a non-foaming cosmetic compound for cleaning the  
30 hair and scalp containing sucrose palmitate stearate.

Japanese patent Jpn. Kokai Tokkyo Koho 81 24,034 discloses an emulsion for a cosmetic cream containing sucrose fatty acid esters, preferably sucrose laurate.

35 Japanese patent Jpn. Kokai Tokkyo Koho 81 55,306 discloses cosmetic emulsions containing sucrose palmitate or sucrose stearate.

- 3 -

Marketing brochure, "Cosmetic Raw Materials", RITA corporation, p 5 (1985) and Technical Information Brochure PSE 141 G, RITA corporation, pp. 1-4, (1985),  
5 disclose the use of sucrose cocoate sold under the name of Grillothen for cosmetic use in body lotions, eye make-up removers, face cleansing creams, lotions, shampoos, foam bath products, liquid soaps, baby bath products, hair conditioners, cream rinses, and roll-on deodorants.

10 Lactylic mono fatty acid ester, in particular stearoyl lactic acid and the sodium salt of this ester, has been used in compositions for cosmetic bases. Osipow, et al., Fatty Acid Lactylates, pp. 1-12 (1969) discloses that stearoyl lactic acid and its  
15 sodium salt are used as a cosmetic gelling agent. He further discloses that capryl lactylate, sodium lauroyl lactylate and sodium stearoyl lactylate are non-toxic and that the first two compounds exhibit anti-microbial activity.

20 Osipow, Patent No. 3,472,940, Kreps, Patent No. 3,098,795, Lynch, Patent No. 4,529,605, and Teng, Patent No. 4,193,989 also disclose the use of fatty acid esters in cosmetic compositions.

Other uses of fatty acid esters are disclosed  
25 in Cannell, U. S. Patent No. 4,301,820, which teaches its use in permanent waving compositions, and Cannell, Patent No. 4,424,820, which teaches its use in hair straightening compositions.

30 Thompson, U. S. Patent No. 2,733,252 discloses a process for preparation of the fatty acid esters of lactic acid and salts thereof in a commercial environment. This disclosure alludes to the possible use of such esters as biologically active agents.

- 4 -

SUMMARY OF THE INVENTION

5       The present invention provides cosmetic base  
compositions adapted to topical application to animal  
tissue, said compositions having utility as skin condi-  
tioners and cleansers and capable of exhibiting such  
unexpected therapeutic properties as promoting wound  
healing, increasing total lipid synthesis, increasing  
10   thickness of epidermis layer, increasing cell prolifera-  
tion, stimulating synthesis of glycosaminoglycans and  
reducing skin dryness.

      The compositions of this invention comprises  
from about 0.1% to about 15% by weight of a sucrose  
15   fatty acid ester and from about 0.3% to about 45% by  
weight of an acyl fatty acid ester or alkali metal salt  
thereof and from about 50% to about 99.6% polar solvent.

      A preferred composition of this invention  
comprises from about 0.5% to about 5% by weight of a  
20   sucrose fatty acid ester and about 1.5% to about 15% by  
weight of an acyl fatty acid ester or alkali metal salt  
thereof and from about 80% to about 98% by weight of a  
suitable solvent, preferably polar.

      A presently most preferred optimal composition  
25   of this invention comprises about 1% by weight sucrose  
fatty acid ester and about 3% by weight acyl fatty acid  
ester or alkali metal salt thereof and about 96% polar  
solvent.

      The sucrose fatty acid ester component of  
30   compositions of the present invention ordinarily com-  
prise a mixture of monoacyl and diacyl sucrose esters.  
Preferred sucrose fatty acid esters exhibit a hydro-  
philic/lipophilic balance (HLB) of from about 8 to about  
16 and preferably from about 10 to about 13. The  
35   sucrose fatty acid esters are preferably selected from  
the group consisting of sucrose cocoate, sucrose ricin-  
oleate, sucrose laurate and sucrose stearate.

- 5 -

The acyl fatty acid or alkali metal acyl fatty acid salt component of compositions of the present invention is preferably selected from the group consisting of stearoyl lactic acid, stearoyl lactyl lactic acid, isostearoyl lactic acid, isostearoyl lactyl lactic acid, stearoyl lactylate, sodium stearoyl lactylate, stearoyl lactyl lactylate, sodium stearoyl lactyl lactylate, isostearoyl lactylate, sodium isostearoyl lactylate, isostearoyl lactyl lactylate, and sodium isostearoyl lactyl lactylate.

Solvents for use in compositions of the present invention may include water, glycerin, cetearyl alcohol or any other suitable solvent.

The present invention also unexpectedly provides an inexpensive emulsifying agent exhibiting penetration enhancing properties for use with other therapeutically active agents including shea butter. The unexpected independent therapeutic properties of the compositions of the present invention are demonstrable in histological as well as biochemical studies.

Compositions of the present invention, depending on formulation, ordinarily provide a white, creamy lotion, salve, or ointment which is greaseless, odorless and nontoxic.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention provides compositions useful as therapeutic agents comprising a unique combination of ingredients including at least one sucrose fatty acid ester and at least one acyl fatty acid ester or salt thereof. The preferred combinations include, 1) sodium stearoyl lactylate with sucrose cocoate and, 2) stearoyl lactic acid with sucrose cocoate.

- 6 -

These compositions may be used alone or in combination with, for example, Shea Butter (SHEBU) which enhances the therapeutic effect of the composition of the present invention. While not intended to be limiting on the invention, it is presently believed that penetration of the Shea Butter through epidermal tissue may be facilitated by co-application compositions of the present invention.

The compositions of the present invention herein may also include various other agents and ingredients commonly employed in dermatological and cosmetic ointments and lotions. For example, thickening agents such as carboxymethyl cellulose, coloring agents and the like can be present in the compositions of the present invention for enhancing their aesthetic nature.

The following illustrative examples relating to formulations made in accordance with the present invention are intended to illustrate typical compositions are not intended to be limiting on the scope of the invention. All materials utilized in the formulations are commercially available.

25

Example 1Formulation I

30	<u>Ingredient</u>	<u>Percent (by volume)</u>
	Sodium stearoyl lactylate (Patronic SSL)	3%
	Sucrose cocoate (Grilloten LSE 87K)	1%
35	<u>Water</u>	96%



- 7 -

Formulation II

	<u>Ingredient</u>	<u>Percent (by volume)</u>
5	Sodium stearoyl lactylate (Pationic SSL)	3%
	Sucrose cocoate (Grilloten LSE 871K)	1%
10	Shea Butter (SHEBU)	3%
	Water	93%

15                Formulations I and II above were made utilizing accepted manufacturing procedures in the cosmetic industry. In Formulation II the primary emulsifier, sodium stearoyl lactylate, and co-emulsifier, sucrose cocoate, were combined and then heated prior to the  
20 addition of heated Shea Butter. The molten mass was mixed and then allowed to cool to room temperature. Both Formulations I and II provided a white, creamy lotion, which was greaseless, odorless and nontoxic.

              These formulations were tested at the College  
25 of Medicine, University of Arizona, to determine the morphology and biochemistry of the skin after topical administration of the formulations. More specifically, following topical administration to skin of test  
30 animals, skin samples were assayed for alteration of skin thickness, and for variances in: (1) epithelial DNA synthesis as a measure of all proliferation; (2) glycosaminoglycan content; and, (3) lipid content.

- 8 -

TREATMENT PROTOCOL

A total of 24 Sprague-Dawley male rats of 220  
5 gram average body weight were anesthetized with 0.05  
milliliters (ml) Innovar Vet by subcutaneous injection.  
The skin of the dorsum was closely shaved to  
expose a 4 by 6 centimeter area. The rats were evenly  
and randomly divided into experimental and control  
10 groups. One third received Formulation I treatment  
alone, one third received Formulation II treatment and  
one third received no treatment. A volume of 0.5 ml of  
Formulation I or Formulation II was evenly spread over  
the shaved skin and the area covered with a Tegaderm  
15 adhesive occlusion polyurethane film. The Tegaderm  
adhered to the edges of the shaved skin and formed a  
pocket preventing spreading or loss of the base from the  
application area.

The treatment was repeated every second day, a  
20 total of seven times, during a fourteen day treatment  
period. At the time of sacrifice, the skin from the  
shaved area was removed from all rats.

25 Procedure I: Effect of Topically Applied Formulations  
I and II on Skin Monphology.

This procedure was performed to determine if  
there was any change in thickness of the epidermis  
30 following treatment with Formulation I or Formulation  
II. One section of dissected skin was fixed for  
histology in Baker's formalin (10%). Skin histology  
analysis was performed on 5 micron thick sections of  
this sample that were strictly perpendicular to the  
35 surface plane of the skin. The slices were stained with  
hemotoxylin and eosin and analyzed at 160-fold magnifi-  
cation in a Zeiss Photomic III scientific microscope

- 9 -

coupled to an RCA television screen camera. The thickness of the epidermis was measured by an IPM photo-analyzer whose signal was input into a video micrometer for digital micrometry. A summary of the results is set out in Table I and shows that the thickness of the epidermis increased significantly ( $p < 0.01$ ) in the test groups treated with Formulation I and Formulation II as compared to the untreated group controls. This was due to an increase in the number of cells as well as an increase in the size of the cells. There was no significant difference in epithelial thickness between the Formulation I and Formulation II-treated groups.

15

#### Procedure II. Measurement of Epithelial DNA Synthesis

The 100 mg thick skin slices were minced in 3 ml of Minimal Essential Media (MEM) with 20  $\mu\text{Ci}$   $\text{H}^3$ -thymidine. The mixture was incubated for three hours at 37°C and cooled to 4°C. The supernatant was discarded and the solid phase rinsed with 10 ml of cold saline and incubated with 3 ml of 1 N NaOH for 15 minutes (min) at 37°C. The solid phase was homogenized in a polytron and reincubated for 35 min at 37°C and cooled to room temperature (R.T.). The addition of 1.5 ml of 2 N HCl neutralized the pH of the mixture which was subsequently cooled to 4°C and an equal volume of 10% Trichloroacetic Acid (TCA) was added. The mixture was allowed to stand for 15 min at 4°C. Centrifugation for 10 min. at 2000 g produced a pellet. The supernatant was discarded and the pellet resuspended in 5 ml 5% TCA. This centrifugation step was repeated 3 times in order to remove any free  $\text{H}^3$ -thymidine. The pellet was resuspended in 2.2 ml of 5% TCA and sonicated at maximum amperage for 30 seconds. One ml samples were diluted with 10 ml of

- 10 -

aquasol and the radioactivity was counted. Digleman, et al., J. Surg. Res. 24, pp 45-51 (1978). The results of this procedure are set out in Table I.

5

Procedure III: Metabolic Labeling of Skin  
Glycosaminoglycans (GAG)

10 Skin tissue was weighed and finely chopped  
into approximately cubic millimeter pieces, transferred  
to incubation flasks and washed with saline. Five to  
ten ml of incubation medium consisting of MEM with the  
isotope,  $H^3$ -glucosamine present in a concentration of  
15 10-15  $\mu$ Ci/ml media were added to the tissue. The flasks  
were placed in a 37°C bath and incubated for 6 hours,  
then chilled. The tissue was washed with cold saline  
and homogenized by polytron. The homogenate pellet was  
resuspended in 0.1 M phosphate buffer, pH 8, containing  
20 0.1 M Ethylenediamine Tetracetic Acid EDTA and incubated  
at 37°C for approximately one hour to inactivate  
metallic enzymes. Papain, cysteine and HCl were added  
and the mixture incubated overnight at 60°C. The digest  
was dialyzed against  $H_2O$ , ethanol was added and the  
25 mixture let stand overnight at 4°C. The precipitate was  
recovered by centrifugation and the pellet dissolved in  
a small amount of water. Reprecipitation with cetyl-  
pyridinium chloride at room temperature overnight pro-  
duced GAG. The sample was counted using standard tech-  
30 niques. [Original reference: Scott, J.E. Meth.  
Biochem. Anal. 8, pp. 145-197 (1960).] The results of  
this procedure are set out in Table I.

35

- 11 -

#### Procedure IV. Determination of In Vitro Lipogenesis

5 Skin slices were incubated in a sealed vial containing 4  $\mu\text{Ci}$   $\text{C}^{14}$ -acetate for three hours at  $37.8^\circ\text{C}$  in a total volume of 2 ml 0.1 M phosphate buffer (pH 7.4) in normal saline plus the coenzyme mixture of the following constitution:

10	ATP	5.0 $\mu\text{moles}$
	glucose-1-phosphate	22.5 "
	glutathione	30.0 "
	coenzyme A	0.2 "
	NAD	1.2 "
	NADP	1.4 "
	magnesium chloride	30.0 "

15

The reaction was stopped by freezing and the mixture lyophilized to dryness.

20 The lipid extraction was performed by the addition of 5 ml chloroform:methanol (2:1). Of this extract, 3 ml were transferred to open test tubes and washed twice with 3 ml aliquots of 1.0 M sodium acetate, and with 3 ml distilled water. The upper-phase was discarded after each washing. Methanol (2 ml) was added to the washed extract (lower phase). After agitation, 25 0.5 ml of the mixture was transferred to a counting vial. Ten ml of scintillation fluid was added and the sample was counted.

30 The remaining washed extract was taken to dryness at  $50^\circ\text{C}$  under a continuous  $\text{N}_2$  stream. Carrier lipids in chloroform:methanol (2:1) were added to the tubes and the total volume adjusted to 200  $\mu\text{l}$  with chloroform:methanol (2:1). Eighty  $\mu\text{l}$  samples (40  $\mu\text{l}$  per strip) were plated and developed on two sets of thin layer chromatography (TLC) plates.

35

The lipid spots were visualized under UV light following the spraying of the TLC plates with an ethanol solution containing 0.2% Rhodamine B. The spots corres-

- 12 -

ponding to phospholipids and neutral lipids were scraped into counting vials, 2 ml acetic acid and 10 ml scintillation fluid were added and the radioactivity counted. Okabe, et al., Acta Medica Okayama 28, pp 403-410 (1974). Koblin, et al., Pharmacol & Exper. Therapeutics 211, pp 317-325, (1979). The results of these procedures are set out in Tables I and II.

10

15

20

25

30

35

Table I

THE EFFECT OF THE TREATMENT OF  
RAT INTACT SKIN WITH FORMULATION I  
AND FORMULATION II

<u>Parameter</u>	<u>Control no treatment</u>	<u>Formulation I</u>	<u>Formulation II</u>
Epidermal layer thickness (microns)	32.7±4.5	132±28	126±36
DNA synthesis H <sup>3</sup> thymidine 10 <sup>3</sup> x cpm/100 mg dry wt.	225±160.0	791±580	1001±529
Total glycosamino- glycans C <sup>14</sup> - glucosamine 10 <sup>3</sup> x cpm/g skin	122±36.0	263±106	180±42
Total lipids C <sup>14</sup> -acetate dpm/g skin	3,691±8,835	230,703±29,273	301,652±23,606

- 14 -

Table II

THE EFFECT OF THE TREATMENT OF RAT  
INTACT SKIN WITH FORMULATION I OR  
FORMULATION II SHEBU ON THE SYNTHESIS OF  
TOTAL AND VARIOUS SPECIES OF LIPIDS

5

LIPID SYNTHESIS  
DPM ( $10^3$ )/GRAM SKIN

Parameter Studied	Control	Formulation I	Formulation II
10 Total Lipids	83±20	231±80 <sup>(1)</sup>	302±70
Lysolecithin	0.24±0.1	0.48±0.2 <sup>(1)</sup>	0.62±0.2
Sphingomyelin	0.11±0.3	0.42±0.3	0.41±0.3
Phosphatidylcholine	2.2±1	3.6±1	6.0±2
15 Phosphatidylserine, phosphatidylinositol	0.83±0.2	1.8±1 <sup>(1)</sup>	2.3±0.8
Phosphatidyl- ethanolamine	1.5±1	2.8±1	5.2±2
20 Phospholipids	13±8	35±10 <sup>(1)</sup>	50±30
Cholesterol	6.7±4	16±6 <sup>(1)</sup>	21±9
Fatty Acids	11±4	25±5 <sup>(1)</sup>	27±9
Triglycerides	12±3	25±10 <sup>(1)</sup>	30±6
25 Cholesterol ester	19±7	100±40 <sup>(1)</sup>	150±60

(1) Significantly different from control Group I  
variability is given by  $X \pm SD$

30

35



- 15 -

The results in Tables I and II show that cell proliferation was significantly increased over control values in the Formulation I-treated skin and Formulation II-treated skin. Glycosaminoglycan synthesis showed stimulation with much of the increase due to an increase in hyaluronic acid, the primary structural macromolecule in the dermis having the highest water binding capacity. The interest in hyaluronic acid is that an increase in water content in the cutaneous layers of the skin could correct for skin wrinkles on the surface. Total lipid synthesis also shows a significant increase.

The results of Procedures II, III and IV support the results in Procedure I and indicate that there is a genuine rejuvenation effect exhibited following topical application of the formulations made in accordance with the present invention. There was an enhanced effect in DNA synthesis (cell proliferation) and lipogenesis with the Formulation II-treatment over Formulation I treatment alone. However, there was no significant difference between the groups in the measurement of glycosaminoglycan synthesis.

#### Example 2

Additional tests were performed utilizing compositions of the present invention to determine their effect on wound healing.

Eighteen male Sprague-Dawley rats were shaved and prepped over the dorsal thoracic region. Six rats received Formulation I treatment, six received Formulation II treatment, and six received no treatment. A single 7-8 cm long midline "dermal deep" incision was made reaching deep fascia. After controlling the bleeding and washing blood clots from the wound, the skin was closed using staples. Daily treatments of

- 16 -

Formulation I or Formulation II were applied liberally over the wound area. At the end of 17 days, the rats were sacrificed and the dorsal skin removed. Six to eight strips, 0.5 cm wide, were cut perpendicular to the wound axis. Wound breaking strength was measured on an Instron Tester, Model 1001 and histology specimens were taken randomly from each wound. The results of this experiment are contained in Table III.

10

Table III

EFFECT ON THE BREAKING STRENGTH  
OF RAT SKIN WOUNDS TREATED  
WITH FORMULATION I OR FORMULATION II

15	Treatment	Number of Measurements	Breaking strength g/0.5 cm ± SEM
	None, Control	24	445.6 ± 22.9
	Formulation I	37	656.4 ± 32.3
20	Formulation II	39	681.8 ± 38.0

The results in Table III show that treatment with compositions of the present invention increased healing as reflected in a significantly higher breaking strength of the skin specimens ( $p < 0.01$ ). There was no significant difference between the breaking strength of the Formulation I-treated skin and the Formulation II-treated skin. Histology of Formulation I-treated skin or Formulation II-treated skin as compared to control skin showed more collagenation a thicker dermal layer at the site of skin incision, more capillaries in the repair tissue and a lack of skin surface defect.

- 17 -

Example 3

An experiment was performed to determine the effect of treatment utilizing compositions of the present invention with and without occlusive bandage.

In this experiment the dorsal skin of three nude mice was treated with Formulation I or Formulation II for six hours by generous application, three mice received Formulation I treatment, three mice received Formulation II treatment and three mice were untreated. No dressing was utilized. A skin biopsy was taken at 6, 24, 48, 72, 96 and 120 hours after treatment. Specimens were prepared for histology and stained with hematoxylin-eosin. A second group of mice received a single treatment of Formulation I and another group treatment with Formulation II. All treated areas in these mice were occluded with impermeable Blendederm membrane left on the skin for 24 and 48 hours. At the end of each time period, skin biopsies were taken for histology.

The results of these tests are set out in Table IV.

25

30

35

- 18 -

Table IV

Group	Thickness of the epidermis (microns)	
	24 hrs	48 hrs
5 Control - intact skin no dressing	27.3±3.8	--
Control - occlusive dressing only	41.6±9.1	38.7±8.8
10 Formulation I	73.2±10.2	76.2±9.2
Formulation II	86.6 ± 9.4	82.1±10.1
15 Variability given as X ± SD		

The results set out in Table IV show that after six hours of the treatment without occlusion, no differences were observed between treated and untreated skin. However, treatment of the intact skin of nude mice for 24 or 48 hours under occlusive membrane significantly increased the thickness of the epidermal layer in both the Formulation I and Formulation II-treated skin.

Example 4

Another formulation was made in accordance with the present invention and was tested to determine its effect on the sensitivity of rats skin to U.V. light.

- 19 -

Formulation III

Ingredient	Percent (by volume)
5 Stearoyl lactic acid	3%
Sucrose cocoate (Grilloten LSE 87K)	1%
Water	96%

10                    Formulation III was made utilizing the same  
manu-  
facturing procedures used for Formulation I and II.  
Formu-  
lation III provided a white, creamy lotion, which was  
15 greaseless, odorless and non-toxic.

                  Six Sprague-Dawley male rats were pretreated  
with cod liver oil, 2 ml/rat for three days. They were  
anesthetized with 0.05 ml Innovar-Vet and a six by  
fifteen cm area on the dorsal surface was shaved and  
20 scrubbed with 70% ethanol. The rats were placed in  
restraining cages and exposed to UV light for 2.5  
hours. Ethane excretion measurements were made at two,  
six, eighteen and twenty-four hours after UV light  
exposure using the method of Eskilson, et al. Dept. of  
25 Surgery, U. of Arizona, College of Medicine, Tucson,  
AZ. The method is based on the finding that radiation  
induces lipid peroxidation and peroxidation-related  
changes in the skin. Three rats were pretreated for  
three days with a cream containing 10% Formulation  
30 III. Three rats were untreated controls. The results  
are set out in Table V.

- 20 -

Table V

EFFECT OF TOPICAL APPLICATION  
OF FORMULATION III ON THE  
SENSITIVITY OF RAT SKIN TO ULTRAVIOLET LIGHT

5

		<u>Ethane Excretion (cumulative nano moles)</u>			
		Hours after treatment and UVL exposure			
<u>Group</u>		<u>2</u>	<u>6</u>	<u>18</u>	<u>24</u>
10	Control	2.68±0.49	2.36±1.70	3.63±1.84	4.64±0.78
	Formulation III Treated	0.00±0	2.05±0.37	2.10±0.26	1.86±0.51

Variability is given by  $X \pm SD$ ,  $n = 3$   
15 Statistical significance tested by Student t-test

The results showed a significant reduction in  
ethane excretion in rats treated with Formulation III  
20 indicating possible utility of the composition of the  
present invention as a sunscreen.

Example 5

25 A further experiment was performed to deter-  
mine the effect on epithelialization of the composition  
of the present invention. In this experiment pigs were  
wounded in a standard split thickness model. Two types  
30 of wound dressing coverages were compared with Duoderm®,  
a commercial product to determine their effect on epi-  
thelialization of the wound. Gauze, Formulation II  
soaked gauze and Duoderm were administered sterile and  
dry onto the wound. The dressings were left on the  
35 wound for 60 hours. The results are set out in Table  
VI.

- 21 -

Table VIEVALUATION OF VARIOUS DRESSING  
MATERIALS ON THE RATE OF EPITHELIALIZATION  
OF STANDARD SPLIT THICKNESS WOUND IN PIGS

5	<u>Group</u>	<u>%Epithelialization</u>
	gauze	74.5 $\pm$ 11.6
	FORMULATION II	89.7 $\pm$ 11.1
10	Duoderm	91.6 $\pm$ 7.1

Data presented as  $X \pm SD$ . There were 24 determinations made in each group. Statistical evaluation and Duncan's multiple range test, the results at 95% confidence limit are shown below.

15

The results show a significant increase in the rate of epithelialization with the Duoderm-treated and Formulation II-treated animals.

20 Examples 1-5 demonstrate the unexpected therapeutic properties of the compositions of the present invention. Topical application of either Formulation I, Formulation II or Formulation III shows significant dermatological rejuvenative and protective properties as  
25 demonstrated in histological, as well as, biochemical studies. Histological examination of experimental tissue showed that animal skin treated with Formulation I or Formulation II shows a significant increase in the thickness of the epidermis, as well as, a mild increase  
30 in keratinocytes and fibroblasts. Wound healing was accelerated. Assays designed to measure an increase in biochemical activity reinforced these observations. Increased total lipid synthesis, DNA synthesis, and glycosaminoglycan synthesis suggested a rejuvenation  
35 effect. The results of treatment with Formulation I, Formulation II or Formulation III on animal skin indi-

- 22 -

cate a healthier and less dry skin which heals faster in response to injury. Also, application of Formulation I or Formulation III decreases sensitivity to U.V. light, thus exhibiting utility as a sunscreen agent. When  
5 SHEBU is used in conjunction with Formulation I, an enhanced therapeutic effect is observed and is expected to be observed when used with Formulation III. For example, increased DNA synthesis and increased lipogenesis was demonstrated with use of Formulation II  
10 compared to use of Formulation I alone. This enhancement effect, however, does not demonstrate itself on the histological level. Treatment with Formulation I or Formulation II produced the same increase in epithelial thickness and acceleration of wound healing. No significant  
15 difference was demonstrated between the two groups.

The following formulations in accordance with the present invention were made using standard cosmetic manufacturing procedures.

20

25

30

35



- 23 -

Series I						
<u>Ingredient</u>	<u>Percent (by Volume)</u>					
	A	B	C	D	E	F
Sucrose Cocoate (Grilloten LSE 87K)	1	2	4	8	3	12
Stearoyl Lactylic Acid	3	6	12	24	1	4
Water	96	92	84	68	96	84

- 24 -

Series II											
Ingredient	Percent (by Volume)										
	A	B	C	D	E	F	G	H	I	J	
Sucrose Cocoate											
(Grilloten LSE 87K)	1	1	1	1	1	8	16	1	2	4	8
Stearoyl Lactylic											
Acid	3	3		3	24	48					
Water							96	92	84	68	
Propylene Glycol	96						96				
Glycerin							96				
Sodium Stearoyl											
Lactylate			3				3	6	12	24	
Cetearyl Alcohol							96	68	36		

- 25 -

Series III				
Ingredient	Percent (by Volume)			
	A	B	C	D
Sucrose Cocoate 40% (Crodesta SL 40)	1.4	1.4		
Stearoyl Lactylic Acid	3			75
Sodium Stearoyl Lactylate		3	75	
Water	95.6	95.6		
Sucrose Cocoate (Grilloten LSE 87K)			25	25

- 26 -

All formulations combined easily. The formulations utilizing primary emulsifiers and co-emulsifiers exhibited acceptable stability. All formulations provided a white, creamy lotion which was greaseless, odorless and non-toxic.

Useful as a replacement for (or adjunct to) sodium stearoyl lactylate in compositions of the invention is the sodium salt of an acyl lactic acid or acyl monohydroxy monocarboxylic acid as well as the sodium salts of palmitoyl lactic acid, stearoyl lactyl lactylate, isostearoyl lactic acid, isostearoyl lactyl lactylate and the calcium salts of stearoyl lactylate and stearoyl-2-lactylate.

Useful as a replacement for (or adjunct to) sucrose cocoate in compositions of the invention are sucrose laurate, sucrose ricinoleate and sucrose stearate. These sucrose fatty acid esters all exhibit a hydrophilic/lipophilic balance between 8 and 16.

From the foregoing it is seen that compositions of the present invention exhibit a wide variety of highly desirable therapeutical and cosmetic base properties. The formulations disclosed in the examples may be varied dependant on the particular application, user and the like.

25

30

35

- 27 -

## WHAT IS CLAIMED IS:

1. A composition for use as a therapeutic  
5 agent comprising about 1% to about 15% by weight sucrose fatty acid ester, about 3% to about 45% by weight acyl fatty acid ester or alkali metal salt thereof, and a solvent.
- 10 2. The composition in claim 1 wherein the sucrose fatty acid ester is sucrose cocoate.
3. The composition of claim 1 wherein the acyl fatty acid ester is stearoyl lactic acid.  
15
4. The composition of claim 1 wherein the acyl fatty acid ester salt is sodium stearoyl lactylate.
5. The composition of claim 1 wherein the  
20 ratio of acyl fatty acid ester to sucrose fatty acid ester is about 3 to 1.
6. The composition as in claim 1 wherein the acyl fatty acid is selected from the group consisting  
25 of: stearoyl lactic acid, stearoyl lactyl lactic acid, isostearoyl lactic acid, and isostearoyl lactyl lactic acid.
7. A composition as in claim 1 wherein the  
30 acyl fatty acid ester salt is selected from the group consisting of: the alkali metal salts of stearoyl lactylate, stearoyl lactyl lactylate, isostearoyl lactylate, and isostearoyl lactyl lactylate.

35

- 28 -

8. A composition as in claim 1 wherein the solvent is a polar solvent selected from the group consisting of: water and glycerin.

5 9. A composition as in claim 1 wherein the solvent is cetearyl alcohol.

10 10. A composition as in claim 1 wherein the sucrose fatty acid ester is selected from the group consisting of: sucrose ricinoleate, sucrose laurate, and sucrose stearate.

15 11. A composition as in claim 1, wherein the sucrose fatty acid ester has a hydrophilic/lipophilic balance from about 8 to about 16.

20 12. A method for enhancing healing of injured skin comprising applying thereto an effective amount of a composition comprising about 1% to about 15% by weight sucrose fatty acid ester, about 3% to about 45% by weight acyl fatty acid ester or alkali metal salt thereof, and a polar solvent.

25 13. A composition for use as a cosmetic base comprising about 1% to about 15% by weight sucrose cocoate, about 3% to about 45% by weight acyl fatty acid ester or alkali metal salt thereof, and a solvent.

30 14. The composition of claim 13 wherein the acyl fatty acid ester is stearyl lactic acid.

15. The composition of claim 14 wherein the acyl fatty ester salt is sodium stearyl lactylate.

- 29 -

16. A composition for use as a therapeutically active agent comprising about 1% to about 15% by weight sucrose fatty acid ester, about 3% to about 45% by weight acyl fatty acid ester or alkali metal salt thereof, about 3% to about 45% Shea Butter and a solvent.

17. The composition in claim 16 wherein the sucrose fatty acid ester is sucrose cocoate.

10

18. The composition of claim 16 wherein the acyl fatty acid ester is stearyl lactic acid.

19. The composition of claim 16 wherein the acyl fatty acid ester salt is sodium stearyl lactylate.

20. The composition as in claim 16 wherein the acyl fatty acid is selected from the group consisting of: stearyl lactic acid, stearyl lactyl lactic acid, isostearyl lactic acid, and isostearyl lactyl lactic acid.

21. A composition as in claim 15 wherein the acyl fatty acid ester salt is selected from the group consisting of the alkali metal salts of: stearyl lactylate, stearyl lactyl lactylate, isostearyl lactylate, and isostearyl lactyl lactylate.

22. A composition as in claim 16 wherein the solvent is a polar solvent selected from the group consisting of: water and glycerin.

23. A composition as in claim 16 wherein the solvent is cetearyl alcohol.

35

- 30 -

24. A composition as in claim 16, wherein the sucrose fatty acid ester has a hydrophilic/lipophilic balance from about 8 to about 16.

5           25. A method for enhancing healing of injured skin comprising applying thereto an effective amount of a composition comprising about 1% to about 15% by weight sucrose fatty acid ester, about 3% to about 45% by weight acyl fatty acid ester or alkali metal salt  
10 thereof, about 3% to about 45% Shea Butter and a solvent.

15

20

25

30

35



# INTERNATIONAL SEARCH REPORT

International Application No. **PCT/US88/00774**

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC <b>IPC(4): A61K 9/06, 7/00;</b> <b>U.S. CL: 424/59, 514/54, 514/845</b>																				
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched <sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; border: 1px solid black;">Classification System</th> <th style="border: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="border: 1px solid black; vertical-align: top; padding: 5px;">U.S.</td> <td style="border: 1px solid black; padding: 5px;">424/59, 69, 195.1 514/54, 62, 506, 845, 870, 886, 887, 937, 943, 969</td> </tr> </table> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup></div>			Classification System	Classification Symbols	U.S.	424/59, 69, 195.1 514/54, 62, 506, 845, 870, 886, 887, 937, 943, 969														
Classification System	Classification Symbols																			
U.S.	424/59, 69, 195.1 514/54, 62, 506, 845, 870, 886, 887, 937, 943, 969																			
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup></b> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 10%; border: 1px solid black;">Category <sup>9</sup></th> <th style="width: 60%; border: 1px solid black;">Citation of Document, <sup>11</sup> with Indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="width: 30%; border: 1px solid black;">Relevant to Claim No. <sup>13</sup></th> </tr> <tr> <td style="border: 1px solid black; text-align: center;">X</td> <td style="border: 1px solid black;">US, A, 4,379,755 (YAMADA) 12 APRIL 1983</td> <td style="border: 1px solid black; text-align: center;">1-25</td> </tr> <tr> <td style="border: 1px solid black; text-align: center;">Y</td> <td style="border: 1px solid black;">US, A, 4,184,978 (FRANCE) 22 JANUARY 1980</td> <td style="border: 1px solid black; text-align: center;">2-25</td> </tr> <tr> <td style="border: 1px solid black; text-align: center;">Y</td> <td style="border: 1px solid black;">US, A, 4,386,067 (GUILLON) 31 MAY 1983</td> <td style="border: 1px solid black; text-align: center;">2-25</td> </tr> <tr> <td style="border: 1px solid black; text-align: center;">Y</td> <td style="border: 1px solid black;">US, A, 4,036,991 (STEFEL) 19 JULY 1977</td> <td style="border: 1px solid black; text-align: center;">2-25</td> </tr> <tr> <td style="border: 1px solid black; text-align: center;">Y</td> <td style="border: 1px solid black;">US, A, 3,098,795 (KREPS) 23 JULY 1963</td> <td style="border: 1px solid black; text-align: center;">2-25</td> </tr> </table>			Category <sup>9</sup>	Citation of Document, <sup>11</sup> with Indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	X	US, A, 4,379,755 (YAMADA) 12 APRIL 1983	1-25	Y	US, A, 4,184,978 (FRANCE) 22 JANUARY 1980	2-25	Y	US, A, 4,386,067 (GUILLON) 31 MAY 1983	2-25	Y	US, A, 4,036,991 (STEFEL) 19 JULY 1977	2-25	Y	US, A, 3,098,795 (KREPS) 23 JULY 1963	2-25
Category <sup>9</sup>	Citation of Document, <sup>11</sup> with Indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>																		
X	US, A, 4,379,755 (YAMADA) 12 APRIL 1983	1-25																		
Y	US, A, 4,184,978 (FRANCE) 22 JANUARY 1980	2-25																		
Y	US, A, 4,386,067 (GUILLON) 31 MAY 1983	2-25																		
Y	US, A, 4,036,991 (STEFEL) 19 JULY 1977	2-25																		
Y	US, A, 3,098,795 (KREPS) 23 JULY 1963	2-25																		
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><sup>10</sup> * Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"A" document member of the same patent family</p> </div> </div>																				
<b>IV. CERTIFICATION</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border: 1px solid black; padding: 5px;">Date of the Actual Completion of the International Search <b>02 MAY 1988</b></td> <td style="width: 50%; border: 1px solid black; padding: 5px;">Date of Mailing of this International Search Report <b>24 MAY 1988</b></td> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">International Searching Authority  <b>ISA/US</b></td> <td style="border: 1px solid black; padding: 5px;">Signature of Authorized Officer <b>SAMUEL A. ACQUAH</b></td> </tr> </table>			Date of the Actual Completion of the International Search <b>02 MAY 1988</b>	Date of Mailing of this International Search Report <b>24 MAY 1988</b>	International Searching Authority  <b>ISA/US</b>	Signature of Authorized Officer <b>SAMUEL A. ACQUAH</b>														
Date of the Actual Completion of the International Search <b>02 MAY 1988</b>	Date of Mailing of this International Search Report <b>24 MAY 1988</b>																			
International Searching Authority  <b>ISA/US</b>	Signature of Authorized Officer <b>SAMUEL A. ACQUAH</b>																			

**THIS PAGE BLANK (USPTO)**